

### REMARKS

The amendments to the specification as set forth above are intended to clarify and set apart the various sections of the subject application.

The amendments to the claims as set forth above are intended to remove all multiple dependent claims from the subject application and to more particularly point out and distinctly claim the subject invention.

Deleted language is stricken through and added language is underlined.

Applicants respectfully submit that all amendments made to the substitute specification are supported either expressly or inherently in the present application as originally filed, such that no new matter is believed to have been added.

Applicants respectfully request that the undersigned attorney be contacted if any questions arise as to specific amendments made herein.

The Commissioner is hereby authorized to charge any deficiencies or credit any overpayments in connection with the filing of this correspondence to Deposit Account No. 50-0426.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

DESCRIPTION

Weighting circuit for a multicarrier signal receiver

5 DescriptionTECHNICAL FIELD

The invention relates to a weighting circuit for a multicarrier signal receiver which is provided for receiving a multicarrier signal comprising carrier  
10 signals, particularly for an OFDM receiver.

BACKGROUND ART

In the case of multicarrier signal transmission, the data information is  
15 transmitted on a plurality of carrier signals which have different carrier signal frequencies. Known multicarrier reception systems are DMT and OFDM (Orthogonal Frequency Division Multiplexing). Particularly in the case of mobile radio transmission, data symbols expand or overlap one another. If the delay spread of the data transmission channel is in the region of the data  
20 symbol duration, a high level of intersymbol interference may arise which makes error-free decoding impossible unless appropriate countermeasures, such as equalizers, are used. In the case of application at high data transmission rates, such channel equalizers are very complex, however. Multicarrier transmission allows these drawbacks to be avoided. In the case  
25 of OFDM, the data stream to be transmitted is split into a plurality of portions and is transmitted in parallel on various signal carriers. Each subchannel may be submodulated for its part. The data transmission rate of a carrier is reduced by the parallelization. This reduces the intersymbol interference for the data transmission. The OFDM receiver performs the splitting into the  
30 subchannels or carrier signals. After filtering, sampling and demodulation, the parallel data are converted back into a serial data stream.

Figure 1 shows a signal spectrum for a multicarrier signal transmission. The data are transmitted in a transmission frequency band which contains a  
35 multiplicity of sub-bands  $SB_i$ . The sub-bands  $SB_i$  normally have the same frequency bandwidth  $\Delta f$ . In many cases, the multicarrier system has more than 1000 sub-bands  $SB_i$ . During transmission using frequency-selective

5 multipath channels, one or more attenuation maxima, i.e. amplitude minima, may fall into the transmission band. In this case, by way of example, one sub-band  $SB_i$  may be situated at an attenuation maximum while another sub-band  $SB_i$  is situated at an attenuation minimum. The amplitudes of the various sub-bands  $SB$  are therefore very different. Close to an attenuation maximum, the amplitude of the useful signal is relatively small. As figure 1 shows, the sub-band  $SB_i$  has a very small amplitude on account of a very high attenuation transmission channel.

10 Besides the useful signal, the receiver receives a background noise  $N_0$ , which is essentially constant over the entire transmission frequency band, and external spurious signals. These external spurious signals may be signals from other signal sources or television signals, for example. The external spurious signals  $NF$  are overlaid on the background noise  $N_0$  to  
15 form a cumulative spurious signal, as shown in figure 1.

The received signal in the receiver is made up as follows:

$$E = N_0 + NF(f) + S(f) \quad (1)$$

20 where  $N_0$  is a largely evenly distributed background noise,  $NF(f)$  is a frequency-dependent spurious signal, and  $S(f)$  is the useful signal.

25 Figure 2 shows a multicarrier signal receiver based on the prior art.

The receiver contains a tuner for tuning to the received signal, a downstream antialiasing filter AAF and an analog-digital converter for converting the received analog signal into a digital received signal. At the  
30 output of the analog-digital converter, the digital received signal is firstly supplied to a subtraction circuit SUB and to an estimation unit. The estimation unit calculates the cumulative spurious signal. The estimated cumulative spurious signal is deducted from the input signal  $E$  by the subtraction unit SUB, so that ideally just an undisturbed useful signal  $S$   
35 remains and is processed further. The estimation unit shown in figure 2 performs cross correlation between the output signal from the ADC and one or more spurious signals which are to be expected.

In the case of an OFDM receiver, based on the prior art, the data are lined up symbol by symbol and are separated by one another by the guard interval. Normally, an unknown sudden phase change occurs between the data symbols. Accordingly, to subtract the estimated signal with the correct phase, the estimation unit ascertains a first cross correlation value between the received signal and a stored spurious signal which is to be expected and also a second cross correlation value between the received signal and the spurious signal to be expected which has been phase-shifted through 90°. The estimation unit then calculates the phase of the spurious signal on the basis of the cross correlation values. The calculation of this phase is severely susceptible to error.

One drawback of the conventional multicarrier signal receiver as shown in figure 2 is that the spurious signal needs to be estimated on the basis of magnitude and phase, which makes such estimation difficult and susceptible to error. Estimating the spurious signal becomes a very imprecise affair if the variance in the estimate result 'measurement time' is relatively high, e.g. because the available measurement time is too short.

The greater the discrepancy between the estimated spurious signal and the spurious signal which actually occurs, the more the bit error rate BER of the received data stream which is output by the channel decoder increases.

## SUMMARY OF THE INVENTION

It is therefore the object of the present invention to increase the reception quality of a multicarrier signal receiver.

The invention achieves this object by means of a weighting circuit for a multicarrier signal receiver which has the features indicated in patent claim 1.

The invention provides a weighting circuit for a receiver which is provided for receiving a multicarrier signal comprising a plurality of carrier signals, where the weighting circuit weights the carrier signals ideally such that the spurious signal energy is of equal magnitude for all weighted carrier signals.

In one preferred embodiment of the inventive weighting circuit, said circuit has at least one multiplier which multiplies an associated carrier signal by a stored weighting coefficient.

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The stored weighting coefficients represent reliability information for the various carrier signals. The greater the noise on a subcarrier signal or a carrier signal, the lower the associated reliability or the weighting coefficient. A subcarrier with a high level of noise or a carrier signal with a high level of noise is weighted with a smaller weighting coefficient than a carrier signal with a lower level of noise.

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In one preferred embodiment, the weighting circuit has a memory which stores a plurality of weighting coefficient sets  $G_i$  which each comprise a plurality of weighting coefficients  $g_i$ .

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The memory can preferably be programmed via an interface.

This allows the weighting coefficients to be matched to the transmission properties of the data transmission channel.

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In another preferred embodiment, the weighting circuit has a selector which selects a particular weighting coefficient set  $G_i$  from the weighting coefficient sets stored in the memory.

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In this context, the selector preferably selects a weighting coefficient set on the basis of an expected spurious signal energy.

In another preferred embodiment, the selector selects a weighting coefficient set additionally on the basis of an averaged frequency offset between the maximum of the spurious signal spectrum and the next closest carrier signal of the multicarrier signal.

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The multicarrier signal is preferably broken down into the carrier signals by a computation circuit.

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The computation circuit is preferably a Fast Fourier Transformation circuit.

The carrier signals broken down by the computation circuit are preferably buffer-stored in a buffer store for the subsequent multiplication.

- 5 In a first embodiment, the expected spurious signal energy can be set externally.

10 In an alternative embodiment, the expected spurious signal energy is calculated by an estimation unit on the basis of the received multicarrier signal.

Further preferred embodiments of the inventive weighting circuit and further features which are fundamental to the invention are described below with reference to the appended figures, in which:

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BRIEF DESCRIPTION OF THE DRAWINGS

- Figure 1 shows a signal spectrum for a received signal;
- 20 Figure 2 shows a multicarrier signal receiver based on the prior art;
- Figure 3 shows a multicarrier signal receiver which contains an inventive weighting circuit based on a first embodiment;
- 25 Figure 4 shows a multicarrier signal receiver which contains an inventive weighting circuit based on a second embodiment;
- Figure 5 shows a multicarrier signal receiver which contains an inventive weighting circuit based on a third embodiment;
- 30 Figure 6 shows a multicarrier signal receiver which contains an inventive weighting circuit based on a fourth embodiment;
- Figure 7 shows a table of the memory content of a programmable memory in the inventive weighting circuit;
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## SUBSTITUTE SPECIFICATION – MARKED UP VERSION

Attorney Docket No. 1406/324

Figure 8 shows a flowchart to explain the way in which the inventive weighting circuit works;

5 Figure 9a shows the amplitude distribution of a multicarrier signal at the signal input of an inventive weighting circuit;

Figure 9b shows the amplitude distribution of the multicarrier signal shown in figure 9a at the output of the inventive weighting circuit; and

10 Figure 10 shows a signal spectrum to explain the way in which the inventive weighting circuit works.

### DETAILED DESCRIPTION OF THE INVENTION

15 Figure 3 shows a multicarrier signal receiver 1 which contains a first embodiment of the inventive weighting circuit. The multicarrier signal receiver 1 contains a tuner 2 for tuning to the received signal, the tuner 2 having an antialiasing filter 3 connected downstream of it. The filtered received signal is converted into a digital received signal by an analog-digital  
20 converter 4 and is supplied to a computation circuit 5. the computation circuit 5 breaks down the received digital multicarrier signal into various carrier signals which have different carrier signal frequencies  $f_1, f_2, f_3, \dots, f_N$ . The computation circuit 5 is preferably a filter bank, particularly a Fast Fourier Transformation circuit (FFT). The amplitudes of the carrier signals are  
25 supplied via lines 6-1, 6-2, 6-3, ..., 6-N to a multiplier circuit 7 having an appropriate number of multipliers 7-1, 7-2, 7-3, ..., 7-N. The multipliers 7- $i$  multiply the respective carrier signal by a weighting factor  $G_i$  which is read from a programmable memory 9 via an associated line 8- $i$ . The weighted carrier signals are supplied via lines 9- $i$  to a channel decoder 10 which  
30 decodes the weighted carrier signals and compiles them to form a digital data stream for further data processing. The channel decoder 10 is preferably a Viterbi decoder, which often has a Read-Solomon decoder connected downstream of it. The channel decoder 10 outputs the serial digital data stream via a line 11 for further data processing.

35 The programmable memory 9 can be programmed externally via an interface circuit 12. The interface circuit 12 is connected to the

programmable memory 9 via internal data lines 13. The programmable memory 9 contains a plurality of weighting coefficient sets  $G_i$ , as shown schematically in figure 7 by way of example. Each weighting coefficient set  $G_i$  contains a multiplicity of weighting coefficients  $G_{ij}$ , with the number  $N$  of  
 5 weighting coefficients corresponding to less than or equal to the number of sub-bands  $SB$  within the transmission frequency band. The number  $N$  of different weighting coefficient sets  $G_i$  can be chosen and is 8, for example. The programmable memory 9 is connected to a selector 15 via address lines 14. The selector 15 selects a particular weighting coefficient set  $G_i$  from a  
 10 plurality of  $M$  different weighting coefficient sets which are stored within the memory 9. To this end, the selector 15 generates an address for selecting the appropriate weighting coefficient set  $G$ .

In the case of the first embodiment of the inventive weighting circuit, shown  
 15 in figure 3, the weighting coefficient set  $G_i$  is selected on the basis of an expected spurious signal energy, the expected spurious signal energy  $EP_{\text{spurious}}$  in the first embodiment shown in figure 3 being set externally via a line 16. The spurious signal energy is proportional to the square of the amplitude of the cumulative spurious signal, which is made up of the  
 20 background noise  $N_0$  and external spurious signals. The expected spurious signal energy  $EP_{\text{spurious}}$  is applied via a setting input 17. The first embodiment of the inventive weighting circuit 18 comprises the multiplier circuit 7 for multiplying the carrier signals by the selected weighting coefficients, the programmable memory 9 with the associated interface  
 25 circuit 12 and also the selector 15 for selecting the weighting coefficient set.

Figure 4 shows a multicarrier signal receiver 1 which contains a second embodiment of the inventive weighting circuit 18. Corresponding components have been provided with corresponding reference symbols.

30 In the case of the second embodiment of the inventive weighting circuit, shown in figure 4, the selector 15 makes the selection of the weighting coefficient set  $G_i$  not only on the basis of the spurious signal energy  $EP_{\text{spurious}}$  which has been set but also on the basis of an average frequency  
 35 offset. To this end, the weighting circuit 18 additionally contains carrier frequency detectors 19-1, 19-2, ..., 19- $N$ , which are connected to the output lines 6-1, 6-2, ..., 6- $N$  via lines 20-1, 20-2, ..., 20- $N$ . The carrier frequency



detectors 19-<sub>i</sub> ascertain each carrier signal's actual carrier frequency  $f_i$  and output the discrepancy or the offset  $\Delta_{fi}$  between the current or actual carrier frequency  $f_i$  and the nominal frequency  $f_{\text{nominal}}$  for this carrier signal to an offset averaging circuit 22 via an associated output line 21-<sub>i</sub>. Such carrier  
5 frequency detectors 19-<sub>i</sub> are described in "Digital Communications Receivers" by Heinrich Meyr, Stephan, A. Fechtel in John Wiley and Sons, 1998, Section 8 (pp. 445-504). The offset averaging circuit 22 calculates an average  $f_{\text{OFFSET-mean}}$  for all ascertained frequency offsets of the various carrier signals. The offset averaging circuit 22 is preferably a proportional-  
10 integral computation element. In this case, the averaging time is preferably settable.

Figure 10 shows the spectrum of an OFDM received signal with a sinusoidal spurious signal. As can be seen in figure 10, the nonorthogonal sinusoidal  
15 spurious signal is situated outside of the framework of the received OFDM signal, which comprises a multiplicity of carrier signals with carrier frequencies  $f_i$ . Demodulating the OFDM signal using the Fast Fourier Transformation circuit 5 distributes the energy of the spurious signal over the surrounding subcarriers or carrier signals, with the attenuation being  
20 dependent on the subcarrier and on the parasitic frequency. The disturbances which occur on the output lines 6-<sub>i</sub> of the Fast Fourier Transformation circuit 5 are reduced by the inventive weighting circuit 18 for a stipulated number of carrier signals such that a previously determined noise level is set.

25 The energy level of the cumulative spurious signal, which is made up of an external spurious signal and the noise, is obtained as:

$$EP_{\text{spurious}} = 10 \cdot \log[10^{0.1 \cdot N_0} + 10^{0.1 \cdot NF}] \text{ in dB} \quad (2)$$

30 where the energy level of the cumulative spurious signal  $EP_{\text{spurious}}$  is dependent on the external spurious signal NF and on the background noise  $N_0$ .

35 The weighting coefficient  $g_i$  is calculated on the basis of the expected spurious signal energy  $EP_{\text{spurious}}$  as follows:

$$g_i = 10^{EP_{\text{spurious}}/20} \quad (3)$$

If, by way of example, the noise is normalized to zero decibels and if the level of the spurious signal after Fast Fourier Transformation on a subcarrier is 10 dB higher than that of the noise signal, the total energy  $EP_{\text{spurious}}$  of the spurious signal and the noise in line with equation (2) is:  
 $10 \cdot \log[10^0 + 10^{0.1 \cdot 10}] = 10.414 \text{ dB}.$

From this, the weighting factor  $G_i$  is calculated as  
 $10^{(-10.414:20)} = 0.3015.$

In the case of the implementation of the inventive weighting circuit 18, a weighting set  $G_i$ , which comprises suitably dimensioned weighting coefficients  $g_i$ , is calculated in advance and is written to the memory 9 via the interface circuit 12. The weighting coefficients selected by the selector 15 are multiplied by the carrier signals by the multiplier circuit 7. In this case, either amplitudes of the various carrier signals can be buffer-stored by a buffer store 24, which comprises various latch components 24'i, before the multiplication, as figure 4 shows, or the weighting coefficients which have been read are used for multiplication by the next block of N-composed carrier signal amplitudes which are output by the FFT circuit 5.

Figure 5 shows a third embodiment of the inventive weighting circuit 18.

In this embodiment, the selector 15 makes the selection of the weighting coefficient set  $G_i$  within the memory 9 not on the basis of an expected externally set spurious signal energy but rather on the basis of an estimated spurious signal energy which is ascertained by an estimation unit 25. The estimation unit 25 is connected downstream of the analog-digital converter 4 via line 26 and calculates a maximum spurious signal level on the basis of the received digital multicarrier signal. The estimation unit 25 performs first cross correlation between the received signal which is present at the output of the ADC 4 and with at least one spurious signal which is to be expected, in order to calculate a first cross correlation value  $k_1$ , and second cross correlation between the received signal and a spurious signal to be expected which has been phase-shifted through  $90^\circ$ , in order to calculate a second

cross correlation value  $k_2$ . On the basis of the two cross correlation values  $k_1$ ,  $k_2$ , the energy of the current disturbance in the received signal is calculated by the estimation unit,

5      $E_{\text{spurious}} \sim k_1^2 + k_2^2$

The estimation unit 25 preferably stores a plurality of spurious signals which are to be expected, for example spurious signals whose frequency has been shifted relative to one another. The spurious signals to be expected  
10 alternatively have different signal shapes, so as to simulate spurious signals from different signal sources or signal distortions. The estimation unit 25 outputs the calculated maximum spurious signal energy  $E_{\text{spurious max}}$  via line 26 and the associated spurious signal association number via line 27 to the selector 15, the spurious signal association number indicating the  
15 associated spurious signal.

The selector 15 selects a weighting coefficient set on the basis of the spurious signal association number, which indicates the type of spurious signal or this signal shape, and the calculated spurious signal energy.

20 Figure 6 shows a further preferred embodiment of the inventive weighting circuit 18 in which the data are processed serially. In this preferred embodiment, the weighting circuit 18 comprises just one multiplier 7. The amplitudes which the computation circuit 5 has ascertained for the various carrier signals are read out serially and buffer-stored in the buffer store 24 in  
25 the embodiment shown in figure 6. A carrier frequency selector 19 ascertains the current carrier frequency of the carrier signal and stores the discrepancy from the nominal value in a buffer store in the averaging circuit 22. If the number of sub-bands is 1024, for example, the buffer store 24  
30 buffer-stores 1024 amplitudes for the various carrier signals, and the buffer store in the averaging circuit 22 has 1024 sequence discrepancies written into it in succession, these being averaged by the offset averaging circuit 22. In this case, a rolling average over the last  $1024 \cdot K$  carrier signals is preferably calculated. In one preferred embodiment, the number K is  
35 settable in this case. The amplitudes buffer-stored in the buffer store 24 for the various carrier signals are read out serially and are weighted by the multiplier 7 using the associated weighting coefficient  $G_i$ , which are likewise

read out serially. The embodiment of the inventive weighting circuit 18 which is shown in figure 6 affords the advantage that just one multiplier 7 is provided, which means that the circuit complexity for implementing the weighting circuit 18 is low.

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Figure 8 shows a flowchart to explain the way in which the inventive weighting circuit works.

Following the start  $S_0$ , the receiver receives the multicarrier signal in a step  $S_1$  and converts it into a digital carrier signal using the tuner 2, the antialiasing filter 3 and the analog-digital converter 4.

The computation circuit 5 breaks down the multicarrier signal into  $N$  different subcarriers or carrier signals having different carrier signal frequencies  $f_i$  in a step  $S_2$ . The signal is preferably broken down using Fast Fourier Transformation. The amplitudes are preferably buffer-stored in a buffer store 24 in a step  $S_3$ . In a further step  $S_4$ , the carrier frequency selector 19 ascertains the frequency offsets for the various carrier signals.

In a further step  $S_5$ , the offset averaging circuit calculates an arithmetic mean for the frequency offsets which are activated by the carrier frequency selectors.

In a step  $S_6$ , the selector 15 selects the suitable weighting coefficient set  $G_i$ , which comprises a multiplicity ( $N$ ) of weighting coefficients  $g_i$ , on the basis of the expected spurious signal energy and the average frequency offset. The selected weighting coefficient set  $G_i$  is read out in step  $S_7$ , where the weighting coefficients are already being multiplied by the respective multicarrier signals by the multipliers  $7_i$  in to weight them.

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Next, channel decoding is performed in step  $S_8$  using the channel decoder 10.

The process ends in step  $S_9$ .

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Figure 9a shows three carrier signals, for example, with different carrier signal frequencies  $f_1$ ,  $f_2$ ,  $f_3$  on the lines 6-1, 6-2, 6-3, which are weighted by

the inventive weighting circuit 18. The energy of the various carrier signals is proportional to the square of the amplitudes of the carrier signals. In the example shown in figure 9a, the first carrier signal with the carrier signal frequency  $f_1$  has a comparatively high useful signal energy  $S_1$  and a low spurious signal energy  $N_1$ . The spurious signal energy  $N_1$  is made up of the energy in the background noise  $N_0$  and external spurious signals NF. The second multicarrier signal at the carrier signal frequency  $f_2$  has the same total energy as the first carrier signal in the example shown, but the proportion of spurious signal energy  $N_2$  is significantly higher in the second carrier signal. Accordingly, the signal energy  $S_2$  of the second carrier signal is lower. Figure 9a shows the energy of a third carrier signal, by way of example, whose spurious signal energy is at exactly the same level as the spurious signal energy  $N_1$  of the first carrier signal.

Figure 9b shows the weighted carrier signals on the output lines 9-i of the inventive weighting circuit 18. The inventive weighting circuit 18 weights the three carrier signals such that the spurious signal energy  $N_1'$  of the weighted first carrier signal, the spurious signal energy  $N_2'$  of the second weighted carrier signal and the spurious signal energy  $N_3'$  of the third weighted carrier signal are of the same magnitude. As can be seen from figure 9b, the carrier signal 2, which has a relatively small proportion of the useful signal energy in the total signal energy, has a lower weighting than the carrier signal 1, where the ratio of useful signal energy  $S_1$  to spurious signal energy  $N_1$  is much more favorable or greater. If the carrier signal 2 still has the same weight as the carrier signal 1 at the input of the inventive weighting circuit 18, the output of the inventive weighting circuit 18 produces the carrier signal 2 lowered to the weight of the carrier signal 3. In the inventive weighting circuit 18, the carrier signal with the higher signal-to-noise ratio SNR is provided with a higher weighting than carrier signals with a lower signal-to-noise ratio SNR. The carrier signals or subcarriers with disturbances are assessed by the inventive weighting circuit as being less reliable than the carrier signals or subcarriers with lower levels of disturbance. The inventive weighting circuit 18 strews the output disturbance profile over all subcarriers. On account of the inventive weighting circuit 18, the bit error rate BER in the data stream at the output of the channel decoder 10 is significantly reduced, which means that the reception quality of the receiver 1 is greatly improved overall.

List of reference symbols

1	Receiver
2	Tuner
3	Antialiasing filter
4	Analog-digital converter
5	Computation circuit
6	Lines
7	Multiplier
8	Lines
9	Memory
10	Channel decoder
11	Output line
12	Interface
13	Programming lines
14	Address lines
15	Selector
16	Setting line
17	Setting input
18	Weighting circuit
19	Carrier frequency detector
20	Line
21	Line
22	Offset averaging circuit
23	Line
24	Buffer store
25	Estimation unit
26	Line
27	Line